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| Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 12732-048001 | Application No. Not yet assigned |
| | Applicant Shunpei YAMAZAKI et al. | | |
| | Filing Date June 4, 2001 | Group Art Unit Unknown 2823 | |

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| U.S. Patent Documents | | | | | | | |
|-----------------------|-----------|---------------|------------|----------|-------|----------|----------------------------|
| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date If Appropriate |
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
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| Foreign Patent Documents or Published Foreign Patent Applications | | | | | | | |
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| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |
| | AI | | | | | | Yes No |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |

| Other Documents (include Author, Title, Date, and Place of Publication) | | |
|---|-----------|---|
| Examiner Initial | Desig. ID | Document |
| Lee | AN | Tsutsui et al., "Electroluminescence in Organic Thin Films", Photochemical Processes in Organized Molecular Systems, 1991, pp. 437-450. |
| Lee | AO | M. A. Baldo et al., "Highly Efficient Phosphorescent Emission from Organic Electroluminescent Devices", Nature Vol. 395, September 10, 1998, pp. 151-154. |
| Lee | AP | M. A. Baldo et al., "Very High-Efficiency Green Organic Light-Emitting Devices Based on Electrophosphorescence", Applied Physics Letters Vol. 75, No. 1, July 5, 1999, pp. 4-6 |
| Lee | AQ | Tsutsui et al., "High Quantum Efficiency in Organic Light-Emitting Devices with Iridium-Complex as a Triplet Emissive Center", Japanese Journal of Applied Physics Vol. 38, Part 12B, December 15, 1999, pp. L1502-L1504. |
| Lee | AR | Japanese Patent Application Laid-Open No. 2000-228527 (English Abstract attached) |
| Lee | AS | Japanese Patent Application Laid-Open No. 2001-094113 (English Abstract attached) |

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| Examiner Signature <i>Shunpei Yamazaki</i> | Date Considered 5/27/2004 |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |